

Supplement to the Grant Agreement with non-German recipients



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**The Secretariat of the Pacific Regional Environment Programme (SPREP)
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Your reference	08.12.2023	Telephone	+49 6196 79 - 4757
Our reference	E2B0 - LK		
Email	lisa.koecher@giz.de	Date	23.01.2024

1. Supplement to the Grant Agreement

Agreement number: 81299870 (prev. 81285368)
Project processing number: 20.2159.0-014.00 (prev. 20.2159.0-002.00)
GIZ brief project title: NDC Assist II - Strengthening NDC Financing and Implementation
Country: Global

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BIC (SWIFT): COBADEFF33
IBAN: DE45 5004 0000 0588 9555 00

Dear Sir/Madam,

The following provisions of the Grant Agreement (hereinafter referred to as the 'Agreement') dated 23.06.2022 are to be amended and shall be worded as follows:

1 Amount and purpose of the grant

1.1 As commissioned by the BMZ, GIZ shall make available to the Recipient a grant of

up to EUR 120,000.00.

This is equivalent to an increase not exceeding EUR 28,963.20 by comparison with the original Agreement.

Details are laid down in the budget lines mentioned in Article 1.2 and in the enclosed Estimated Budget (**Annex 1**), which substitutes the budget of the above-mentioned Agreement.

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1.2 The budget lines will be changed as follows:

The Recipient shall use the grant exclusively for actual costs incurred within the scope of the Project described in Article 2 of the above-mentioned contract according to the budget lines below and the estimated budget, which sets out details of the Project costs. The Estimated Budget is attached hereto (**Annex 1**) and forms an integral part of the Agreement.

1. Staff	up to	EUR	84,045.00
2. External experts / Consultants	up to	EUR	0.00
3. Transportation	up to	EUR	6,627.86
4. Training costs	up to	EUR	3,000.00
5. Procurement of goods	up to	EUR	11,000.00
6. Other costs / Consumables	up to	EUR	2,470.00
7. Administration costs (12 %)	up to	EUR	12,857.14

1.3 The grant is made available for the period from **01.07.2022** to **31.08.2024**. Only actual costs incurred during this period and related to activities carried out during this period may be financed from the Grant.

GIZ shall have the right to refuse disbursements after 31.10.2024.

2 The Project

2.2 In order to achieve the objective of the Project, the Recipient intends to implement the measures listed in the Recipient's proposal for project implementation (hereinafter referred to as the 'Project Proposal', see **Annex 2**) on its own responsibility. **Annex 2** substitutes the Project Proposal of the above-mentioned Agreement.

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3 Financial management of the grant and disbursement procedure

3.11 The amount of EUR 12,000.00 shall be retained from the grant as security.

The final payment shall be disbursed up to the retained amount together with the final request for disbursement as soon as all obligations by the Recipient arising from the Agreement, in particular those regarding the provision of evidence on the use of funds for the purpose stipulated, and the reporting requirements have been met.

15 Annexes

The following annexes to this supplement of the Agreement shall constitute components of the Agreement; all other annexes remain valid without modification.

Annex 1: Estimated Budget of 08.12.2023

Annex 2: Project Proposal of January 2024

All other provisions of the original Agreement - including previous supplements to the Agreement (if applicable) - shall remain valid without modification.

Yours sincerely,

Agreed:

Eschborn, date: 26.02.2024

Apia, date: 06-Mar-2024 | 09:14 WST

Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) GmbH

The Secretariat of the Pacific Regional Environment Programme (SPREP)

Contract Management/Financing

.....
Jessica Boerner
Contract Manager, E2B0

.....
Lisa Koecher
Contract Manager, E2B0

DocuSigned by:

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Sefanata Nawadra
Director General

Annex 1 - Estimated Budget

Date: 8.12.2023

Name of the Recipient: SPREP

CN: 81299870 (prev. 81285368)

Description	Quantity (up to)	Unit (e.g. person, vehicle, room, unit, ...)	Quantity	Unit (e.g. months, days, trainings, unit, ...)	Eligible up to in EUR	Total GIZ Contribution in EUR (up to)	Own Funds / Third party financing in EUR (up to)	TOTAL in EUR (up to)
1. Staff (job title) <i>(Note 1: Only staff from your organisation; the evidence for this budget line must be provided in the form of payslips or, in the case of partial financing, in the form of payslips and time sheets. Note 2: Only direct costs for staff (gross cost for the employer) may be settled. Add-ons such as staff overheads that are calculated pro-rata for staff are not eligible for support)</i>						84.045,00 €		84.045,00 €
Technical Adviser	30%	person	26	months	8.250,00 €	64.350,00 €		64.350,00 €
Finance and Administration Officer	30%	person	26	months	2.525,00 €	19.695,00 €		19.695,00 €
2. External Experts / External Services						0,00 €		0,00 €
	0		0		0,00 €	0,00 €		0,00 €
3. Transportation / Travel Costs						6.627,86 €		6.627,86 €
Local travel and per diem for SPREP staff for the implementation of country request	2	person	1	unit	1.800,00 €	3.600,00 €		3.600,00 €
Local travel and per diem for local Ministry implementing the country request	1	person	1	unit	2.000,00 €	2.000,00 €		2.000,00 €
Transport for implementation of country request	1	vehicle	1	unit	1.027,86 €	1.027,86 €		1.027,86 €
4. Training Costs						3.000,00 €		3.000,00 €
Catering, gratuity, flower arrangements and other event related costs for the implementation of country request	1	unit	1	unit	3.000,00 €	3.000,00 €		3.000,00 €
5. Procurement of Goods						11.000,00 €		11.000,00 €
Equipment, tools, seedlings and plants for the implementation of country request	1	unit	1	unit	9.000,00 €	9.000,00 €		9.000,00 €
Design &/or Supply of Awareness Campaign materials/Promotional Materials and Communication Products for the implementation of country requests	1	unit	1	unit	2.000,00 €	2.000,00 €		2.000,00 €
6. Other costs / Consumables						2.470,00 €		2.470,00 €
Bankfees, Postage and courier of documents	1	unit	1	unit	1.500,00 €	1.500,00 €		1.500,00 €
Stationery and other consumables	1	unit	1	unit	970,00 €	970,00 €		970,00 €
Subtotal - direct costs						107.142,86 €		107.142,86 €
7. Supporting cost / Administration costs						12.857,14 €		12.857,14 €
Administration costs	12%	unit	1	unit	107.142,86 €	12.857,14 €		12.857,14 €
8. Forwarding of Funds (Sub - grants to final recipients) - a detailed budget needs to be provided -						0,00 €		0,00 €
no forwarding of funds	1	unit	1	unit	0,00 €	0,00 €		0,00 €
Subtotal - Funding by GIZ (up to - against evidence)* (direct costs + Administration Costs + Forwarding of Funds)						120.000,00 €		120.000,00 €
9. Own funds / third party financing								0,00 €
no own contribution / no third party financing	1	unit	0,00%	financing	0,00 €			0,00 €
Grand Total						120.000,00 €	-	120.000,00 €

*All budget lines (with the exception of administration costs) are settled upon provision of evidence.

Please note: In cases where GIZ funding is to be provided for the award of contracts for external services and/or materials and equipment and/or goods, the relevant procurement guidelines must be observed in accordance with the contractual provisions.

Revenues and profits of the recipient will not be financed. Internal invoicing between organisational units of the recipient will not be financed.

For contract supplements: Please adjust the budget based on the most recently agreed budget and highlight any changes in a different colour. GIZ cannot bear costs that arise as a result of currency fluctuations.

Regional Pacific Nationally Determined Contributions (NDC) Hub:

Proposal for Activities to be Implemented by the Secretariat of the Pacific Regional Environmental Programme (SPREP) for Phase 3

1.0 Secretariat of the Pacific Regional Environmental Programme (SPREP)

SPREP is the regional organisation established by the Governments and Administrations of the Pacific charged with protecting and managing the environment and natural resources of the Pacific. The Headquarters is based in Apia, Samoa with other SPREP offices in Fiji, the Republic of the Marshall Islands and Vanuatu. SPREP has around 150 staff recruited from around the Pacific region and the world with an annual budget of approximately USD35 million in 2022.

The establishment of SPREP in 1993 sends a clear signal to the global community of the deep commitment of Pacific island Governments and Administrations for better management of the environment within the context of sustainable development. The strategic direction for SPREP is clearly set out in the 2017-2026 SPREP Strategic Plan. The Plan outlines the mandate, vision and programmes for the organisation, and places strong emphasis on effective delivery of services to SPREP Member countries and territories.

Mandate – SPREP’s mandate is to promote cooperation in the Pacific region and provide assistance in order to protect and improve its environment and to ensure sustainable development for present and future generations.

Vision – SPREP is guided by its vision for the future: “A resilient Pacific environment, sustaining our livelihoods and natural heritage in harmony with our cultures”.

SPREP has 21 Pacific Island member countries and territories (American Samoa, Cook Islands, Federated States of Micronesia, Fiji, French Polynesia, Guam, Kiribati, Marshall Islands, Nauru, New Caledonia, Niue, Northern Marianas, Palau, Papua New Guinea, Samoa, Solomon Islands, Tokelau, Tonga, Tuvalu, Vanuatu and Wallis & Futuna) and five metropolitan countries (Australia, France, New Zealand, United Kingdom and United States of America) with direct interests in the region.

SPREP has four regional goals to achieving resilience and sustainable Pacific communities:

- Regional Goal 1** - Pacific people benefit from strengthened resilience to climate change
- Regional Goal 2** - Pacific people benefit from healthy and resilient island and ocean ecosystems
- Regional Goal 3** - Pacific people benefit from improved waste management and pollution control
- Regional Goal 4** - Pacific people and their environment benefit from commitment to and best practice of environmental governance

The above-named four regional goals define the core priorities and focus of SPREP in the areas of:

1. Climate Change Resilience
2. Island and Ocean Ecosystems
3. Effective Waste Management and Pollution Control
4. Environmental Governance

SPREP approaches the environmental challenges faced by the Pacific guided by four simple Values. These values guide all aspects of our work.

- We value the ***Environment***
- We value our ***People***
- We value high quality and targeted ***Service Delivery***
- We value ***Integrity***

The SPREP Secretariat continues to strengthen and realign its institutional capacities, competencies, and systems to best support its Members by delivering more integrated, responsive, and cost-effective services to Members and partners and by better coordinating regional efforts. This is achieved through the following Organisational Goals:

Organisation Goal 1 – SPREP has information, knowledge, and communications systems that get the right information to the right people at the right time and influence positive organisational, behavioural and environmental change.

Organisation Goal 2 – SPREP has multi-disciplinary processes in programme delivery and in supporting members to develop national and regional policies and strategies.

Organisation Goal 3 – SPREP has a reliable and sustainable funding base to achieve environmental outcomes for the benefit of the Pacific islands region and manages its programmes and operations to stay within its agreed budget.

Organisation Goal 4 – SPREP is leading and engaged in productive partnerships and collaboration.

Organisation Goal 5 – SPREP has access to a pool of people with the attitudes, knowledge, and skills to enable it to deliver on its shared regional vision.

Additionally, SPREP is the regional focal point for the United Nations Framework Convention on Climate Change (UNFCCC) and provides support to its members with their negotiations and reporting requirements under the Convention, especially in preparation for the annual Conference of the Parties (COP) meetings. This has included pre-COP and post-COP workshops for the region on all the thematic areas. SPREP has also provided advice to countries on the development of climate change mitigation and adaptation policies and plans which are specific to UNFCCC processes such as the development of Nationally Determined Contributions (NDCs) and Nationally Appropriate Mitigation Action Plans (NAMAs). Moreover, SPREP has implemented various renewable energy and energy efficiency projects as well as waste-to-energy projects over the years.

2.0 The Regional Pacific NDC Hub: Background and Long-term Strategy 2030

Pacific island countries contribute the least towards the global greenhouse gas emissions but are at the frontlines of climate change. Climate change constitutes a major threat to the Pacific way of life despite the region's countries contributing negligibly towards climate warming greenhouse gas emissions. It poses a substantial risk to the economic, social and environmental progress of the region and exacerbates threats to the realisation of fundamental human rights. With the increasing frequency and intensity of climate-induced events such as cyclones and droughts, Pacific economies run the risk of losing decades of development gains in a matter of hours to the perils of a rapidly changing climate. Slow onset events like sea level rise increase the risk of inundation and coastal flooding, exacerbate erosion and saltwater intrusion into rivers and underground aquifers, and cause infrastructural damage. The problem is acute in the region's atoll states.

Pacific leadership on climate change has also been immensely progressive – from Pacific island countries (PICs) pushing the 1.5°C temperature target at the Paris climate conference (COP21), to

being the front runners in the ratification of the Paris Agreement – with a unified voice calling on the rest of the world to act on climate change with urgency. Fiji and the Republic of the Marshall Islands have led the way through the provision of their commitment to low/net zero emissions by developing and communicating their long-term low greenhouse gas emission development strategies to the United Nations Framework Convention on Climate Change (UNFCCC), with the rest of the Pacific Countries to submit theirs once they are completed.

The creation of the Regional Pacific NDC Hub (the Hub) is also a hallmark of Pacific leadership. The Hub – managed by the Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) GmbH in partnership with the Pacific Community (SPC), the Secretariat of the Pacific Regional Environment Programme (SPREP) and the Global Green Growth Institute (GGGI) – is mandated by Pacific leaders to support PICs in the full implementation of their climate plans under the Paris Agreement. Since becoming operational in 2018, the Hub has advanced two phases of technical support for PICs, providing the policy clarity and the necessary institutional and systemic changes required at the national level for NDC implementation. The efforts of the Hub contribute to the NDC Partnership.

The Regional Pacific NDC Hub's Strategy 2030, launched in April 2021, articulates the Hub's vision for PICs of sustainability, low-carbon growth and development, and climate resilience. The strategy fleshes out the goals and objectives of the Hub and interventions grounded in the principles of urgency of climate action, alignment of development agenda, gender equality, people and well-being, green recovery and build back better, ownership and build in-country capacity, and collaboration and partnership.

The central goal of the Hub is to realise the vision of a sustainable, low-carbon and climate resilient Pacific. By supporting processes for the full implementation of the NDCs committed to by PICs under the Paris Agreement considering their national circumstances, including mobilising support through its internal and external partners and its broader network, the Hub aims to advance the following specific objectives:

- Improve NDC planning, policy, strategy and legislation in PICs.
- Strengthen enabling environment for NDC implementation.
- Accelerate NDC action and project/program implementation in PICs.
- Enhance NDC measurement, reporting and verification, and transparency of climate action.

Strategy 2030, developed through consultations with PICs, calls for and will facilitate both soft (policy level) and hard (on the ground implementation of climate projects/programs) actions and interventions. The strategy will be implemented through logically framed and costed two to three-year work programmes. Deepened collaboration and partnership with relevant stakeholders will be key for the implementation of Strategy 2030.

SPREP as one of the regional partners in the NDC Hub with well-established partnerships in the Pacific region can contribute majorly to the implementation of the NDC Hub's Strategy 2030 goals and objectives. The provision of support to member countries on NDC-related work and assisting with their NDC implementation at the national level aligns perfectly to existing support and advice that SPREP provides in the areas of:

- 1) Climate change (mitigation, adaptation, renewable energy and energy efficiency, meteorology, COP meetings, etc);
- 2) Effective waste management (waste-to-energy, pollution management programs, etc);
- 3) Island and ocean ecosystems (biodiversity, invasive species, island and ocean ecosystems, etc)
- 4) Environmental Governance (environmental reporting and data management)

- 5) Project Coordination Unit (project development support)
- 6) Tomai Pacifique (formerly known as RTSM, provides a platform to match country requests to the expertise from the Region)

3.0 Primary Aim of the Proposal

The primary aim, and the goal, of this proposal is for SPREP to strengthen Pacific Island Countries in realising their commitments towards the UNFCCC and COP21 Paris Agreement, by supporting country-driven requests for NDC-related support, as well as training and capacity building on topics related to NDC implementation.

This will be achieved by supporting activities related to NDC implementation in selected PICs, based on countries' identified needs and SPREP's expertise. Furthermore, trainings and workshops will be provided to support knowledge, peer-to-peer exchange, and best practices for NDC implementation in the region. Finally, the action aims to highlight countries' ambitious climate action at regional and international events. This primary aim will be achieved through the NDC Hub, in close collaboration with all implementing partners of the NDC Hub. Further details on the primary aim of the proposal are provided in sections 4 and 5.

4.0 Expected Outcomes of the Project Implementation

Through SPREP's well-established partnerships with its membership as well as close collaborations with other partners from around the Region, SPREP shall commit to the provision of assistance for the NDC Hub in ensuring it delivers on its promise to the Pacific through the utilization of all its resources to deploy what is required to progress NDC national implementation within the Region.

5.0 Planned Activities for the Third Project Phase

The NDC Hub's third project phase will be guided by the indicators outlined in the table below. The NDC Hub forms part of the global GIZ Project "NDC Assist II – Strengthening NDC Financing and Implementation", which provides NDC-related support in multiple countries and regions across the world. In the results matrix below, the indicators relating to the NDC Hub's contribution to NDC Assist II are listed. These indicators form the basis of the NDC Hub's work in the third project phase. This proposal outlines SPREP's contribution towards these indicators.

Results Matrix of the Regional Pacific NDC Hub's Third Project Phase¹

Module Objective Indicator 1: Eight (8) approaches to gender-sensitive financing and implementation of NDCs are coordinated among relevant actors in selected partner countries and regional organizations.
Module Objective Indicator 2: Sixty percent (60%) of the approximately 50 actors in partner countries participating in exchange formats on successful approaches to financing and implementation of NDCs confirm with an example that they have been able to successfully apply the conveyed knowledge on gender justice in their respective fields of action.

¹ Please note the entire results matrix is not listed here, as it is not part of the NDC Hub's contribution to NDC Assist II.

Module Objective Indicator 3: Experiences gained from the project's country and regional measures on the financing and implementation of NDCs were integrated into the work processes of 15 other members of the NDC Partnership (NDCP).	
Output 1: Conditions for financing and implementing NDCs with a special focus on gender aspects are improved in selected partner countries and regions.	Output Indicator 1.1: Eight (8) new or further developed approaches to the financing and implementation of NDCs have been designed in selected partner countries and regional organizations.
	Output Indicator 1.2: Thirteen (13) training and networking measures on the financing and implementation of NDCs used by partner countries and regional organizations are designed in a gender sensitive way.
Output 4: Experiences from cooperation with and between NDCP members and with the private sector are disseminated.	Output Indicator 4.1: Eighteen (18) experiences from the cooperation with partner countries and with the private sector are incorporated into the NDCP's strategy development and work planning.
	Output Indicator 4.2: Twenty-three (23) international peer-to-peer learning measures, including advanced digital formats, take up experiences from the support offered in countries, SMEs and impact investors, where possible with a particular focus on gender sensitivity.

This proposal covers implementation of the NDC Hub's third phase.

As the NDC Hub follows a demand-driven approach, the specific activities which will form part of the third project phase will be collected from PICs. In May 2022, the NDC Hub has sent out an official call for country requests, in which PICs have been invited to indicate their priority needs for NDC-related support. The NDC Hub will assist countries in formulating these requests through guidance and information sessions. Once requests have been received from all PICs, in June 2022, these requests will be divided between the NDC Hub's four implementing partners, based on their technical expertise. Please refer to the timeline at the end of this document for a detailed overview of the planned implementation.

As part of this proposal, SPREP can provide support for one (1) country request especially in the areas of expertise already included in Sections 1 and 2.

Furthermore, SPREP can host one (1) training on a topic which is relevant to PIC's NDC implementation, and which relates to SPREP's technical expertise.

To achieve these activities, SPREP will hire the following staff:

1. Technical Adviser
2. Finance and Administration Officer

6.0 Implementation Timeline

This provides a tentative timeline for implementing the activities outlined in this proposal. The timeline is subject to change and will be revised on a regular basis in consultation with GIZ and the NDC Hub's implementing partners.

ACTIVITY	DESCRIPTION	2022		2023				2024		
		Q 3	Q 4	Q 1	Q 2	Q 3	Q 4	Q 1	Q 2	Q 3
Timeline for implementing one (1) country request in contribution to output 1 of this proposal. The specific country request is from Samoa on Agroforestry.										
Country focal point meetings to finalize Terms of Reference for Country Request										
Finalize scope of Agroforestry coverage and selected schools for tree-planting.										
Application for the approval to reallocate funds for the direct implementation of this Country Request.										
Implementation of country request.										
Timeline for hosting one (1) training, in contribution to output 4 of this proposal.										
Training 1										
Finalizing Reporting to the NDC Hub										
Terminal report for NDC Hub Phase 3										