

Supplement to the Grant Agreement with non-German recipients



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The Secretariat of the Pacific Regional
Environment Programme
(SPREP)
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Your reference		Telephone	+49-6196-792689
Our reference	E2B0-SW	Date	05.02.2024
Email	Susanne.Winkler@giz.de		

1. Supplement to the Grant Agreement

Agreement number: 81299866 (previous 81285081)
Project processing number: 20.2159.0-017.00 (old 20.2159.0-002.00)
GIZ brief project title: NDC Assist II
Country: Global

Dear Sir/Madam,

The following provisions of the Grant Agreement (hereinafter referred to as the 'Agreement') dated 01.07.2022 are to be amended and shall be worded as follows:

1 Grant amount and term

1.1 GIZ shall provide the Recipient with a Grant amounting to

up to EUR 355,000.00.

This is equivalent to an increase not exceeding EUR 70,766.40 by comparison with the original Agreement.

Details are laid down in the budget lines mentioned in Article 1.2 and in the enclosed Estimated Budget (**Annex 1**), which substitutes the budget of the above-mentioned Agreement.

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1.2 The budget lines will be changed as follows:

The Recipient shall use the Grant exclusively for the agreed purpose within the framework of the project specified under Section 2 and on the basis of the Recipient's Estimated Budget (**Annex 1**) submitted by cost category to meet the costs of the following budget lines:

1.	Staff (prime cost for employees of the Recipient)	up to	EUR	196,105.00
2.	External experts	up to	EUR	84,900.00
3.	Transport costs/travel expenses	up to	EUR	14,600.00
4.	Meeting Costs	up to	EUR	6,200.00
5.	Procurement of Goods	up to	EUR	4,500.00
6.	Other Costs / Consumables	up to	EUR	10,659.29
7.	Administration costs (12 % on budget line 1 - 6)	up to	EUR	38,035.71

The Recipient must ensure the efficient and economical use of funds.

Double financing of the actual costs from other grants or long-term loans is not permitted.

The amount referred to in Section 1.1 is the maximum amount of the Grant.

1.3. The Grant is made available for the period from **01.07.2022** to **31.08.2024** (Grant period). Costs that do not fall within the Grant period are not eligible.

2 Project

2.2 In order to achieve the objective of the Project, the Recipient intends to implement the measures listed in the Recipient's proposal for project implementation (hereinafter referred to as the 'Project Proposal', see **Annex 2**) on its own responsibility. **Annex 2** substitutes the Project Proposal of the above-mentioned Agreement.

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3 Disbursement and settlement of the Grant

3.11 The amount of **EUR 35,500.00** shall be retained from the Grant as security.

The final payment shall be disbursed as soon as all contractual obligations by the Recipient arising from the Agreement have been met and to the extent that the respective amount has been used for the agreed purpose. GIZ reserves the right to retain 20% of the security retention amount until the external audit report has been handed over to GIZ.

3.12 GIZ has the right to verify compliance with the Agreement itself and/or by commissioning external auditors at its own expense. The audit shall in particular cover compliance with the principles of proper accounting, the proper use of the Grant and the proper award of contracts for supplies and services in accordance with the provisions of this Agreement.

The anticipated audit periods are as follows:

1. Audit period: 01.07.2022 up to 30.06.2023
2. Audit period: 01.07.2023 up to 31.08.2024

Prior to finalisation of the audit report, the Recipient shall receive information on the main findings and have the opportunity to comment on these findings. After the audit report has been finalised, GIZ shall inform the Recipient about the significant results of the audit report and their implementation. The Recipient shall implement the recommendations made by GIZ and the auditor based on the results of the audit report, taking into account the order of priority for implementation as specified therein and shall provide evidence for this at the request of GIZ.

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15 Annexes

The following annexes to this supplement of the Agreement shall constitute components of the Agreement; all other annexes remain valid without modification.

Annex 1: Estimated Budget of 08.12.2023

Annex 2: Project Proposal of January 2024

All other provisions of the original Agreement - including previous supplements to the Agreement (if applicable) - shall remain valid without modification.

Yours sincerely,

Agreed:

Eschborn, date: 05.02.2024

Apia, date: 09.02.2024

Deutsche Gesellschaft für
Internationale Zusammenarbeit
(GIZ) GmbH

The Secretariat of the Pacific
Regional Environment
Programme
(SPREP)

Contract Management/Financing

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DocuSigned by:

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E154D78DF81044E.....
Sefanaia Nawadra
Director General

Annex 1: Estimated Budget

Date: 8.12.2023
CN: 8129886

Name of the Recipient: SPREP

Description	Quantity (up to)	Unit (e.g. person, vehicle, room, unit...)	Quantity	Unit (e.g. months, days, trainings, unit, ...)	Eligible up to in EUR	Total GIZ Contribution in EUR (up to)	Own Funds / Third party financing in EUR (up to)	TOTAL in EUR (up to)
1. Staff (Job Title) (Note: This budget line will be settled against payslips and, if partially financed, against payslips and time sheets.)						196.105,00 €		196.105,00 €
Technical Adviser	70%	person	26	months	8.250,00 €	150.150,00 €		150.150,00 €
Finance and Administration Officer	70%	person	26	months	2.525,00 €	45.955,00 €		45.955,00 €
2. External Experts / Consultant (Job Title)						84.900,00 €		84.900,00 €
Consultant contract supporting implementation of activities in 1 PIC	1	person	1	request	35.000,00 €	35.000,00 €		35.000,00 €
Consultant contract supporting implementation of activities in 1 PIC	1	person	1	request	25.100,00 €	25.100,00 €		25.100,00 €
Consultant contract supporting implementation of activities in 1 PIC	1	person	1	request	24.800,00 €	24.800,00 €		24.800,00 €
3. Transportation / Travel Costs						14.600,00 €		14.600,00 €
Travel of NDC Hub SPREP staff to PICs (or locally) to Support Implementation of NDC Activities	2	person	1	trip	3.300,00 €	6.600,00 €		6.600,00 €
Travel of NDC Hub SPREP Staff to Fill Headquarters for NDC Hub Meeting	2	person	1	trip	4.000,00 €	8.000,00 €		8.000,00 €
4. Meeting costs (including trainings, conferences, webinars and events, excluding travel costs)						6.200,00 €		6.200,00 €
Venue and equipment hire (including set up and other venue-related costs)	1	room	2	event/training	1.600,00 €	3.200,00 €		3.200,00 €
Catering, gratuity, flower arrangements and other event related costs	1	unit	2	event/training	1.500,00 €	3.000,00 €		3.000,00 €
5. Procurement of Goods						4.500,00 €		4.500,00 €
Promotional Materials and Communication Products (banners, flags, giveaways, PR materials, etc)	1	unit	1	unit	2.200,00 €	2.200,00 €		2.200,00 €
IT equipment and accessories - monitors, connectors and toners (including maintenance)	1	unit	1	unit	2.300,00 €	2.300,00 €		2.300,00 €
6. Other costs / Consumables						10.659,29 €		10.659,29 €
Translation Services, Document Review & Printing of NDC Documents	1	unit	1	unit	384,29 €	384,29 €		384,29 €
Stationery and other consumables	1	unit	1	unit	915,00 €	915,00 €		915,00 €
Office Costs - Electricity, Water, Internet, Telephone and other software and license costs	1	unit	26	unit	360,00 €	9.360,00 €		9.360,00 €
Subtotal - direct costs						316.964,29 €		316.964,29 €
7. Supporting cost / Administration costs						38.035,71 €		38.035,71 €
Administration costs	12%				316.964,29 €	38.035,71 €		38.035,71 €
Subtotal (up to - against evidence)* (direct costs + Administration Costs)						355.000,00 €		355.000,00 €
8. Own funds / third party financing						0,00 €		0,00 €
	1	unit	1	financing				0,00 €
Grand Total						355.000,00 €		355.000,00 €

*All budget lines (with the exception of the administration costs) will be settled against evidence.

Information: In case of purchasing of goods and services from GIZ funds, the 'procurement article' within the Agreement shall apply!



Annex 2 – 19.05.2022, updated January 2024

Regional Pacific Nationally Determined Contributions (NDC) Hub:

Proposal for Activities to be Implemented by the Secretariat of the Pacific Regional Environmental Programme (SPREP) for Phase 3

1.0 Secretariat of the Pacific Regional Environmental Programme (SPREP)

SPREP is the regional organisation established by the Governments and Administrations of the Pacific charged with protecting and managing the environment and natural resources of the Pacific. The Headquarters is based in Apia, Samoa with other SPREP offices in Fiji, the Republic of the Marshalls Islands and Vanuatu. SPREP has around 150 staff recruited from around the Pacific region and the world with an annual budget of approximately USD35 million in 2022.

The establishment of SPREP in 1993 sends a clear signal to the global community of the deep commitment of Pacific island Governments and Administrations for better management of the environment within the context of sustainable development. The strategic direction for SPREP is clearly set out in the 2017-2026 SPREP Strategic Plan. The Plan outlines the mandate, vision and programmes for the organisation, and places strong emphasis on effective delivery of services to SPREP Member countries and territories.

Mandate – SPREP’s mandate is to promote cooperation in the Pacific region and provide assistance in order to protect and improve its environment and to ensure sustainable development for present and future generations.

Vision – SPREP is guided by its vision for the future: “A resilient Pacific environment, sustaining our livelihoods and natural heritage in harmony with our cultures”.

SPREP has 21 Pacific Island member countries and territories (American Samoa, Cook Islands, Federated States of Micronesia, Fiji, French Polynesia, Guam, Kiribati, Marshall Islands, Nauru, New Caledonia, Niue, Northern Marianas, Palau, Papua New Guinea, Samoa, Solomon Islands, Tokelau, Tonga, Tuvalu, Vanuatu and Wallis & Futuna) and five metropolitan countries (Australia, France, New Zealand, United Kingdom and United States of America) with direct interests in the region.

SPREP has four regional goals to achieving resilience and sustainable Pacific communities:

- Regional Goal 1** - Pacific people benefit from strengthened resilience to climate change
- Regional Goal 2** - Pacific people benefit from healthy and resilient island and ocean ecosystems
- Regional Goal 3** - Pacific people benefit from improved waste management and pollution control
- Regional Goal 4** - Pacific people and their environment benefit from commitment to and best practice of environmental governance

The above-named four regional goals define the core priorities and focus of SPREP in the areas of:

1. Climate Change Resilience
2. Island and Ocean Ecosystems
3. Effective Waste Management and Pollution Control
4. Environmental Governance



SPREP approaches the environmental challenges faced by the Pacific guided by four simple Values. These values guide all aspects of our work.

- We value the ***Environment***
- We value our ***People***
- We value high quality and targeted ***Service Delivery***
- We value ***Integrity***

The SPREP Secretariat continues to strengthen and realign its institutional capacities, competencies, and systems to best support its Members by delivering more integrated, responsive, and cost-effective services to Members and partners and by better coordinating regional efforts. This is achieved through the following Organisational Goals:

Organisation Goal 1 – SPREP has information, knowledge, and communications systems that get the right information to the right people at the right time and influence positive organisational, behavioural and environmental change.

Organisation Goal 2 – SPREP has multi-disciplinary processes in programme delivery and in supporting members to develop national and regional policies and strategies.

Organisation Goal 3 – SPREP has a reliable and sustainable funding base to achieve environmental outcomes for the benefit of the Pacific islands region and manages its programmes and operations to stay within its agreed budget.

Organisation Goal 4 – SPREP is leading and engaged in productive partnerships and collaboration.

Organisation Goal 5 – SPREP has access to a pool of people with the attitudes, knowledge, and skills to enable it to deliver on its shared regional vision.

Additionally, SPREP is the regional focal point for the United Nations Framework Convention on Climate Change (UNFCCC) and provides support to its members with their negotiations and reporting requirements under the Convention, especially in preparation for the annual Conference of the Parties (COP) meetings. This has included pre-COP and post-COP workshops for the region on all the thematic areas. SPREP has also provided advice to countries on the development of climate change mitigation and adaptation policies and plans which are specific to UNFCCC processes such as the development of Nationally Determined Contributions (NDCs) and Nationally Appropriate Mitigation Action Plans (NAMAs). Moreover, SPREP has implemented various renewable energy and energy efficiency projects as well as waste-to-energy projects over the years.

2.0 The Regional Pacific NDC Hub: Background and Long-term Strategy 2030

Pacific island countries contribute the least towards the global greenhouse gas emissions but are at the frontlines of climate change. Climate change constitutes a major threat to the Pacific way of life despite the region's countries contributing negligibly towards climate warming greenhouse gas emissions. It poses a substantial risk to the economic, social and environmental progress of the region and exacerbates threats to the realisation of fundamental human rights. With the increasing frequency and intensity of climate-induced events such as cyclones and droughts, Pacific economies run the risk of losing decades of development gains in a matter of hours to the perils of a rapidly changing climate. Slow onset events like sea level rise increase the risk of inundation and coastal flooding, exacerbate erosion and saltwater intrusion into rivers and underground aquifers, and cause infrastructural damage. The problem is acute in the region's atoll states.

Pacific leadership on climate change has also been immensely progressive – from Pacific island countries (PICs) pushing the 1.5°C temperature target at the Paris climate conference (COP21), to being the front runners in the ratification of the Paris Agreement – with a unified voice calling on the rest of the world to act on climate change with urgency. Fiji and the Republic of the Marshall Islands have led the way through the provision of their commitment to low/net zero emissions by developing and communicating their long-term low greenhouse gas emission development strategies to the United Nations Framework Convention on Climate Change (UNFCCC), with the rest of the Pacific Countries to submit theirs once they are completed.

The creation of the Regional Pacific NDC Hub (the Hub) is also a hallmark of Pacific leadership. The Hub – managed by the Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) GmbH in partnership with the Pacific Community (SPC), the Secretariat of the Pacific Regional Environment Programme (SPREP) and the Global Green Growth Institute (GGGI) – is mandated by Pacific leaders to support PICs in the full implementation of their climate plans under the Paris Agreement. Since becoming operational in 2018, the Hub has advanced two phases of technical support for PICs, providing the policy clarity and the necessary institutional and systemic changes required at the national level for NDC implementation. The efforts of the Hub contribute to the NDC Partnership.

The Regional Pacific NDC Hub’s Strategy 2030, launched in April 2021, articulates the Hub’s vision for PICs of sustainability, low-carbon growth and development, and climate resilience. The strategy fleshes out the goals and objectives of the Hub and interventions grounded in the principles of urgency of climate action, alignment of development agenda, gender equality, people and well-being, green recovery and build back better, ownership and build in-country capacity, and collaboration and partnership.

The central goal of the Hub is to realise the vision of a sustainable, low-carbon and climate resilient Pacific. By supporting processes for the full implementation of the NDCs committed to by PICs under the Paris Agreement considering their national circumstances, including mobilising support through its internal and external partners and its broader network, the Hub aims to advance the following specific objectives:

- Improve NDC planning, policy, strategy and legislation in PICs.
- Strengthen enabling environment for NDC implementation.
- Accelerate NDC action and project/program implementation in PICs.
- Enhance NDC measurement, reporting and verification, and transparency of climate action.

Strategy 2030, developed through consultations with PICs, calls for and will facilitate both soft (policy level) and hard (on the ground implementation of climate projects/programs) actions and interventions. The strategy will be implemented through logically framed and costed two to three-year work programmes. Deepened collaboration and partnership with relevant stakeholders will be key for the implementation of Strategy 2030.

SPREP as one of the regional partners in the NDC Hub with well-established partnerships in the Pacific region can contribute majorly to the implementation of the NDC Hub’s Strategy 2030 goals and objectives. The provision of support to member countries on NDC-related work and assisting with their NDC implementation at the national level aligns perfectly to existing support and advice that SPREP provides in the areas of:

- 1) Climate change (mitigation, adaptation, renewable energy and energy efficiency, meteorology, COP meetings, etc);
- 2) Effective waste management (waste-to-energy, pollution management programs, etc);
- 3) Island and ocean ecosystems (biodiversity, invasive species, island and ocean ecosystems, etc)
- 4) Environmental Governance (environmental reporting and data management)



- 5) Project Coordination Unit (project development support)
- 6) Tomai Pacifique (formerly known as RTSM, provides a platform to match country requests to the expertise from the Region)

3.0 *Primary Aim*

The primary aim of this proposal is for SPREP to strengthen Pacific Island Countries in realising their commitments towards the UNFCCC and COP21 Paris Agreement, through the NDC Hub. This will be achieved by supporting activities related to NDC implementation in selected PICs, based on countries' identified needs and SPREP's expertise. Furthermore, trainings and workshops will be provided to support knowledge, peer-to-peer exchange, and best practices for NDC implementation in the region. Finally, the action aims to highlight countries' ambitious climate action at regional and international events. This primary aim will be achieved through the NDC Hub, in close collaboration with all implementing partners of the NDC Hub.

As part of this proposal, SPREP will contribute towards indicators 1.2; 2.1 and 3.1 through the following activities:

- SPREP can provide support for three (3) country requests especially in the areas of expertise already included in Sections 1 and 2 of this technical proposal.
- SPREP can host one (1) training on a topic which is relevant to PIC's NDC implementation, and which relates to SPREP's technical expertise.
- SPREP can host one (1) event, highlighting PIC's ambitious climate leadership.

To achieve these activities, SPREP will hire the following staff:

1. Technical Adviser
2. Finance and Administration Officer

4.0 *Expected Outcomes of the Project Implementation*

Through SPREP's well-established partnerships with its membership as well as close collaborations with other partners from around the Region, SPREP shall commit to the provision of assistance for the NDC Hub in ensuring it delivers on its promise to the Pacific through the utilization of all its resources to deploy what is required to progress NDC national implementation within the Region.

5.0 *Planned Activities for the Third Project Phase*

The NDC Hub's third project phase will be guided by the indicators outlined in the results matrix below. This proposal outlines SPREP's contribution towards these indicators.

Outcome 1: Pacific Island Countries (PIC) are strengthened in realising their commitments towards UNFCCC and COP21 Paris Agreement	
OUTPUTS	INDICATORS
Output 1: In alignment with the Strategic Outcomes of the NDC Hub's Long-Term Strategy, Pacific Island Countries are supported in implementing and financing their NDCs	1.1) The NDC Hub has supported, or coordinated support for, further approaches to implementing and financing Pacific Island Countries' NDCs (including NDC roadmaps, investment plans, monitoring, reporting and verification instruments, feasibility studies and similar
Output 2: Knowledge, peer-to-peer exchange, and best practices for NDC implementation and financing are	2.1) Two (2) workshops, trainings and/or peer-to-peer exchanges are conducted, through virtual formats. If travel restrictions allow, in-person formats will also be considered.



<p>disseminated among PIC and with other regions, through collaboration with the NDC Partnership Support Unit and other relevant actors.</p>	<p>2.2) Consultation with 50% of NDC Hub focal points, based on 50% of country activities, results in communications materials with quantitative and qualitative information on how the NDC Hub has supported PIC's NDC progress, finalized in May 2022 and updated annually in 2023 and 2024.</p>
<p>Output 3: PIC's progress towards realizing their NDC targets and addressing climate change is communicated at the national, regional and international level.</p>	<p>2.3) Two (2) capacity building trainings to focal points on "digital tools and developing virtual trainings" conducted to support focal points in effectively using virtual platforms.</p> <p>3.1) The NDC Hub promoted PIC's climate leadership in 6 international and regional events between April 2020 – September 2021, adding to the visibility of the Hub and its work.</p> <p>3.2) Information Education and Communications (IEC) materials developed on the ongoing and completed NDC Hub activities in member PIC as well as facilitation of three regional media trainings resulting in a 20% measurable increase of media coverage of climate change topics by December 2023, compared to a baseline of February 2020.</p> <p>3.3) NDC Hub website, which was launched in 2020, is maintained and regularly updated, highlighting PIC's leadership in enhancing and implementing their NDCs.</p>

This proposal covers implementation of the NDC Hub's third phase.

As the NDC Hub follows a demand-driven approach, the specific activities which will form part of the third project phase will be collected from PICs. In May 2022, the NDC Hub has sent out an official call for country requests, in which PICs have been invited to indicate their priority needs for NDC-related support. The NDC Hub will assist countries in formulating these requests through guidance and information sessions. Once requests have been received from all PICs, in June 2022, these requests will be divided between the NDC Hub's four implementing partners, based on their technical expertise. Please refer to the timeline below for a detailed overview of the planned implementation.

6.0 Implementation Timeline

This provides a tentative timeline for implementing the activities outlined in this proposal. The timeline is subject to change and will be revised on a regular basis in consultation with GIZ and the NDC Hub's implementing partners.

ACTIVITY	DESCRIPTION	2022		2023				2024		
		Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3
Timeline for implementing three (3) country requests, in contribution to indicator 1.1 of this proposal. The specific country requests are from Nauru, Samoa and Tuvalu.										
Country focal point meetings to finalize Terms of Reference for Country Requests										
Finalize Tender documents and advertise										
Recruitment process for technical expert to implement country requests (evaluations & contract-signing)										
Implementation of country requests.										
Timeline for hosting one (1) training, in contribution to indicator 2.1 of this proposal.										
Training 1										
Timeline for hosting one (1) event, in contribution to indicator 3.1 of this proposal.										
Event 1										
Finalizing Reporting to the NDC Hub										
Terminal report for NDC Hub Phase 3										