

**Grant Support Agreement (GSA) Amendment No. 1**

IN RESPECT TO

**GCF READINESS AND PREPARATORY SUPPORT:** “Strengthening the NDA Capacity and Strategic Engagement of Solomon Islands with the Green Climate Fund”GRANT NUMBER: **SLB-RS-001**Amendment No. 1 to the Grant Support Agreement (GSA) between the **Secretariat of the Pacific Regional Environment Programme (SPREP)** (the “Grantee”) and the United Nations Office for Project Services (“UNOPS”);**WHEREAS** UNOPS entered into a Grant Support Agreement with the **Secretariat of the Pacific Regional Environment Programme (SPREP)** dated **07 April 2020**, for implementation of the “Strengthening the NDA Capacity and Strategic Engagement of Solomon Islands with the Green Climate Fund” activity hereinafter referred to as the “Agreement”;**WHEREAS** UNOPS and the GRANTEE now wish to amend such Agreement in order to extend the duration of the Agreement;**NOW THEREFORE**, the parties hereto agree that the Agreement will be amended as follows:

- Clause 3.2 of the Agreement shall be replaced with the following:

*“3.2 Funds granted hereunder are available for program expenditures for the period of **33 months** from **14 May 2020**, the date upon UNOPS has disbursed the first instalment as specified in Clause 5.2 (of the original agreement), **until 13 February 2023**.”*

- Clause 6.1 (a) of the Agreement shall be replaced with the following:

*“6.1 The Grantee shall submit the following milestone reports during the life of the Agreement in the formats provided in Annex C (of the original agreement) and in line with the Payment Schedule (as per Clause 5.2 of the original agreement):*

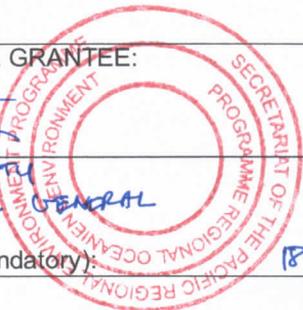
- (a) *To UNOPS an interim progress report and a financial audited report on the use of Grant funds after **22 months** and no later than **23 months** from the program starting date, as specified in Clause 3.2”*

- The implementation schedule, attached in Annex A to the Agreement, shall be complemented with the attached amended Annex A - Implementation Schedule.

All other terms and conditions of the Agreement, except as amended herein, shall remain unchanged and shall continue in full force and effect.

The effective date of this Amendment is the date on which it is signed by the respective Parties. If the signing occurs on two different dates, this Amendment shall take effect on the date of the last signature.

In witness of these terms and conditions, the authorized representatives of the Parties execute this Amendment in duplicate in the English language.

<p>FOR UNOPS:</p> <p> </p> <hr/> <p>Gurel Gurkan Head of Support Services, AUMCO, UNOPS</p> <p>Date (mandatory): 19/4/2021</p>	<p>FOR THE GRANTEE:</p> <p></p> <hr/> <p>Kosi Lina DIRECTOR GENERAL SPAC</p> <p>Date (mandatory): 18/5/2021</p> <p></p>
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<p>Ministry of Finance and Treasury (MoFT) and the National Transport Fund (NTF) are already nominated as potential DAE for Solomon Islands.</p> <p>Baseline: 1</p>	<p>Two national DAEs progressed towards GCF accreditation.</p> <p>Target: 2</p>	<p>DAE accreditation plan in roadmap action plan in place for MoFT and NTF</p> <p><b>Activity 3.1.3:</b> Progress actions as outlined in the Institutional Gap Analysis Report (Deliverable 3.1.1) including gaps identified by the FMCA findings to fulfil GCF DAE accreditation fiduciary standards</p> <p><b>Deliverable 3.1.3:</b> Development of draft policies, systems and fiduciary standards and other requirements progressed and aligned to GCF accreditation needs</p>																													
<p>Limited awareness and capacity in MoFT and NTF to understand the GCF fiduciary standards and what needs to be done.</p> <p>Baseline: 0</p>	<p>Capacity of MoFT and NTF regarding the GCF DAE fiduciary standards is strengthened.</p> <p>Target: 1</p>	<p><b>Activity 3.1.4:</b> Raise awareness and build institutional capacity within MoFT and the National Transport Fund (NTF) in relation to the GCF accreditation process, fiduciary standards, environmental and social safeguards (ESS), gender policy, and monitoring, reporting and evaluation through workshops</p> <p><b>Deliverable 3.1.4:</b> 4 workshops conducted with increased capacity of NTF and MoFT</p>																													
<p>No short term attachment (7 days) with Fiji Development Bank or Cook Islands Ministry of Finance have been completed</p> <p>Baseline: 0</p>	<p>At least one short term attachment (7 days) with Fiji Development Bank or Cook Islands Ministry of Finance</p> <p>Target: 1</p>	<p><b>Activity 3.1.5:</b> Facilitate lesson-learning and short-term attachment for MoFT, NTF &amp; NDA Office with other institutions in the Pacific that have been through similar DAE accreditation</p> <p>At least one short term attachment (7 days) with Cook Islands Ministry of Finance for relevant officers from MoFT, NTF and NDA office</p>																													
<p>the GCF policies is limited</p> <p>Baseline: 0</p>	<p>stakeholders improved.</p> <p>Target: 1</p>	<p>Private Sector Facility and other opportunities</p> <p><b>Deliverable 5.1.2:</b> Two private sector workshops convened resulting in 1 project concept note</p>																													

Date: 11 June 2020

**Subject: No-Cost Extension to the GCF Readiness and Preparatory Support Programme and Project Preparation Facility**

Dear Sir/Madam,

In recognition of the potential impact of the COVID-19 pandemic on the implementation of activities under the Readiness and Preparatory Support Programme and Project Preparation Facility, the Green Climate Fund (GCF) in cooperation with UNOPS, is monitoring this situation with a view to supporting our partners in a manner that is responsive and programmatic.

Following communications sent on 8 April 2020 by the Executive Director, Mr. Yannick Glemarec, we wish to inform you that the GCF has granted up to six-months extension of the grant term/anticipated duration of readiness activities for specific grants that have a signed and effective Grant Agreement with UNOPS before 8 April 2020. In addition to the no-cost extensions, the GCF has granted additional flexibilities whose guidelines for application are outlined below.

**No-Cost Extensions**

The no-cost extension is granted with the following terms:

- i. All grants will be automatically extended up to six months due to the COVID-19 pandemic. However, RPSP activities that can be delivered under the pre-pandemic existing contractual timelines should maintain the schedule. Delivery Partners and National Designated Authorities/Focal Points (NDA/FP) can complete the grant implementation sooner than the full no-cost extension period. Therefore, it is expected that Delivery Partners will fully coordinate with NDA/FPs in relation to the application of the said extension as a result of the COVID-19 pandemic.
- ii. There is no need for a request letter seeking no-cost extension. However, Delivery Partners are required to countersign this letter and send it back to UNOPS as a confirmation of interest in the no-cost extension.
- iii. Delivery Partners should, together with the countersigned letter, also submit a revised workplan for the new period and a revised budget prepared in accordance with the below list of new financial management arrangements. Upon receipt of the countersigned letter, revised budget and revised workplan, UNOPS will prepare an amendment to the Grant Agreement for signature of UNOPS and the Delivery Partner.
- iv. Grants requiring an extension longer than the six-months must submit well justified requests in line with standard practices and procedures for the Fund's consideration and approval.
- v. The originally agreed grant sum/total amount approved under the grant agreement required to complete the activities under the respective legal agreements remains unchanged for grants accepting this no-cost extension.

**Other Flexibilities:** Other temporary measures to support project implementation through the extension period are detailed below. Please be advised that these measures will apply for the remainder of ongoing projects, but do not constitute a permanent change in policy. As such, they are designed to temporarily address impacts of COVID-19 pandemic.

**Project management costs:** The project management costs (PMC) cap has been increased from 7.5 percent to 12.5 percent of the total activity budget approved. Partners can tap into the approved contingency fund to meet these additional costs up to the 12.5% cap. If the Delivery Partner increases the PMC, then the Delivery Partner is required to provide detailed documentation and justification supporting the increase in PMC and clearly outlining how the additional costs are related to the COVID-19 pandemic. This justification should be included in the interim progress report or completion reports due for submission as detailed in the grant agreement.





**Contingency budget:** The approved contingency amount included in the budgets for these activities may be used to cover unforeseen costs relating to the COVID-19 pandemic without prior approval from UNOPS. As mentioned, the contingency budget may be used for project management costs (PMC). The said contingency expenses will need to be justified and included in the detailed reports that are required to be submitted by the delivery partner/recipient under the respective legal agreement between the delivery partner/recipient and the GCF.

**Budget Reallocation:** The reallocation of approved budget among the budget line items can be made from one budget category to another up to 25 percent variation across the categories. The receiver and giver budget category can only take or give without prior fund approval up to 25 percent based on the previously approved budget. Budget can also be reallocated from outputs without changing the project scope to PMC if the contingency budget is insufficient to meet the increases in PMC. The delivery partner is required to provide detailed documentation and justification supporting the budget reallocation in the submitted reports in line with the grant agreement.

For the avoidance of doubt, the terms and conditions of the Approved R&P Support Proposals and the respective Grant Agreements between UNOPS and the Delivery Partner, shall remain unchanged and in full force and effect. Delivery Partners are also encouraged to reach out to UNOPS ([peterre@unops.org](mailto:peterre@unops.org); [renatan@unops.org](mailto:renatan@unops.org); [mariannak@unops.org](mailto:mariannak@unops.org)) with the GCF Secretariat in copy ([opm@gcfund.org](mailto:opm@gcfund.org)) should there be additional implementation challenges that require consideration and action.

Please confirm your agreement to the foregoing by countersigning and dating the confirmation set forth below, which shall constitute the addendum 1 to the approved R&P Support Proposal, and an integral part of the Grant Agreement Amendment, and **return one fully signed original letter to us along with a revised workplan and a revised budget no later than 31 August 2020**. Please send the documents to UNOPS ([peterre@unops.org](mailto:peterre@unops.org); [renatan@unops.org](mailto:renatan@unops.org); [mariannak@unops.org](mailto:mariannak@unops.org)) with the GCF Secretariat in copy ([opm@gcfund.org](mailto:opm@gcfund.org)).

Yours sincerely,

Mr. Peter Reeh  
UNOPS Project Manager





The above terms and conditions are accepted by the Secretariat of the Pacific Regional Environment Programme (SPREP)

By: \_\_\_\_\_

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Kosi Latu  
Director General  
SPREP

Date: \_\_\_\_\_

*25-08-2020*

cc: Dr Melchior Mataki, Permanent Secretary - Ministry of Environment, Climate Change, Disaster Management and Meteorology (MECDM)

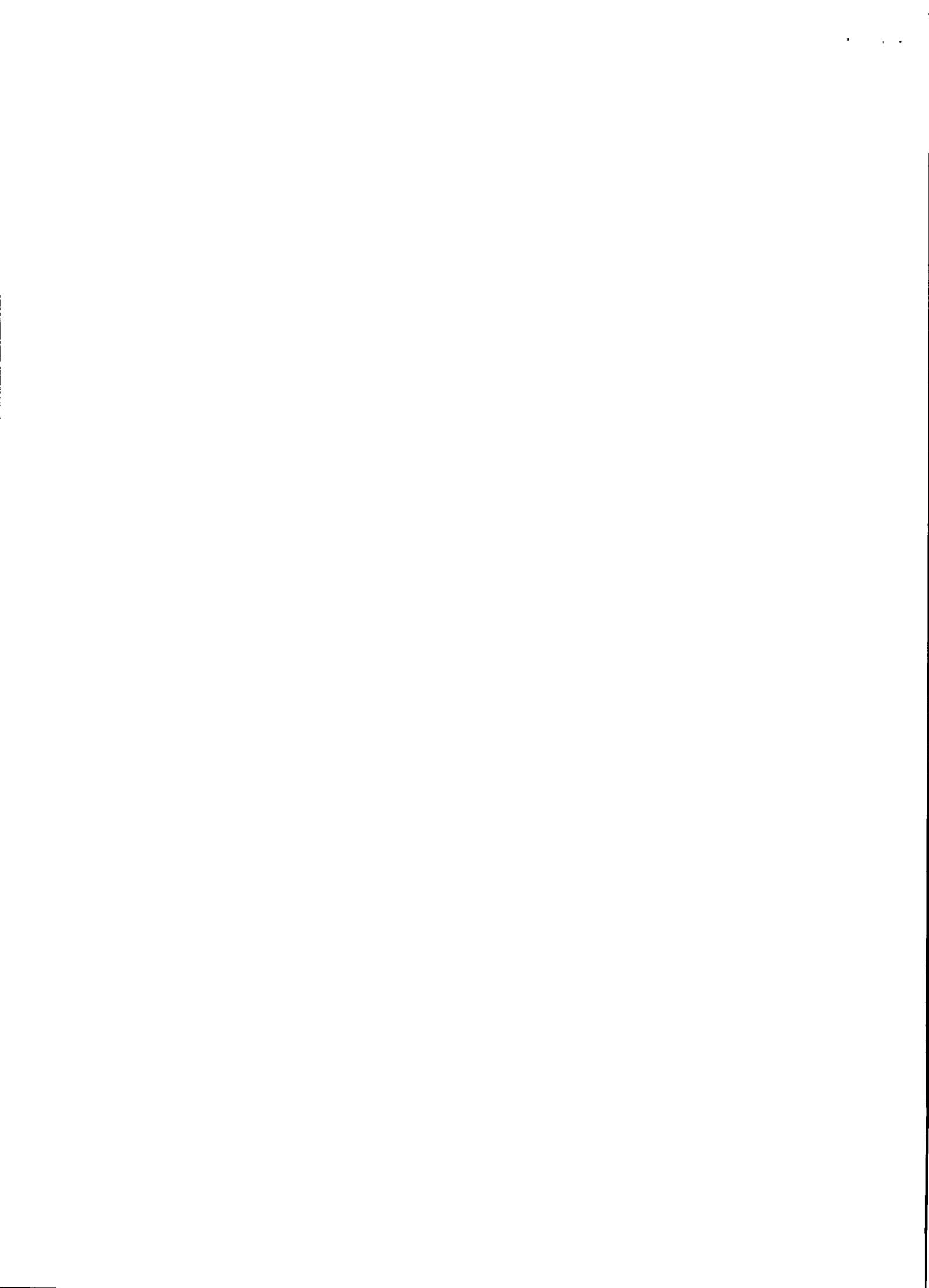




Annex I

Country	Grant Reference	Date of Approval letter	Amount Approved (USD)	Approved Activity Commencement Date	Amended Activity Commencement Date	Approved Grant Expiration Date	Amended Grant Expiration Date
Solomon Islands	SLB-RS-001	7 April 2020	\$991,262	7 August 2020	7 March 2021	7 April 2022	7 October 2022

**Note:** This request is seeking a delay in the commencement of activities under the Readiness Support Project due to COVID-19 impacts including restrictions on international travel, thus restricting the ability of the Delivery Partner and external technical assistance to work in-country on specified activities. This delay in the commencement date, will impact upon the expiration date as outlined in the above table.



## Grant Support Agreement

IN SUPPORT OF

### GCF Readiness and Preparatory Support Programme:

GRANTEE NAME: Secretariat of the Pacific Regional Environment Programme (SPREP)

GRANT NUMBER: SLB-RS-001

This Grant Support Agreement (hereinafter referred to as "Agreement") is made between the United Nations Office for Project Services (hereinafter referred to as "UNOPS") and Secretariat of the Pacific Regional Environment Programme (SPREP), P O Box 240, Apia, Samoa (hereinafter referred to as "Grantee").

**WHEREAS**, UNOPS has been engaged by the Green Climate Fund ("GCF") for grant management support to the GCF Readiness and Preparatory Support Programme (RPSP) and the Project Preparation Facility (PPF), and

**WHEREAS**, the GCF Secretariat has approved the Readiness Proposal and budget for Strengthening the NDA Capacity and Strategic Engagement of Solomon Islands with the Green Climate Fund (hereinafter referred to as the "Activity"), as more specifically described in Annex A with Secretariat of the Pacific Regional Environment Programme (SPREP) as Grantee, and

**WHEREAS**, UNOPS desires to provide grant support to the Grantee in the context of the implementation of the Activity Strengthening the NDA Capacity and Strategic Engagement of Solomon Islands with the Green Climate Fund, on the terms and conditions hereinafter set forth, and

**WHEREAS** the Grantee is ready and willing to accept such funds from UNOPS for the above-mentioned activities on the terms and conditions as herein set forth.

**NOW, THEREFORE**, the Grantee and UNOPS agree as follows:

### 1. Agreement Documents

1.1 The following documents attached hereto shall be deemed to form an integral part of this Agreement in the following order of precedence:

- i. This Agreement
- ii. Annex A: Terms of Reference
- iii. Annex B: Grant Budget
- iv. Annex C: Reporting
- v. Annex D: UNOPS General Conditions for Grant Support Agreements

1.2 This Agreement and the Annexes attached hereto shall form the entire Agreement between the Grantee and UNOPS, superseding the contents of any other negotiations and/or agreements, whether oral or in writing, pertaining to the subject of this Agreement.



## 2. Purpose of the Agreement

- 2.1 The purpose of this Agreement is to provide support for the Activity being Strengthening the NDA Capacity and Strategic Engagement of Solomon Islands with the Green Climate Fund in Solomon Islands as described in Annex A (the Terms of Reference). None of the funds provided pursuant to this Agreement may be used for any purposes other than those expressly set forth in Annex A.
- 2.2 Grant support is being provided to the Grantee on the condition that the action is implemented, and the funds are administered by the Grantee, in accordance with this Agreement.

## 3. Duration of this Agreement

- 3.1 This Agreement is effective and funds are granted by UNOPS as of the date of the last signature below.
- 3.2 Funds granted hereunder are available for program expenditures for the period of **24 months** from the date upon which UNOPS has disbursed the first instalment as specified in Clause 5.2 below.

## 4. Role of the Grantee

- 4.1 The Grantee shall:
- a. Have full responsibility for ensuring that the Activity is implemented in accordance with the Agreement
  - b. Be responsible, in the event of financial review, audit or evaluation for providing the necessary accounting documents
  - c. Be responsible for providing all documents and information to UNOPS which may be required under the relevant payment requests
  - d. Make the arrangements for providing the financial status documentation and financial guarantee, when requested
  - e. Ensure professional management of the Activity, including performance monitoring and reporting activities.

## 5. Grant Amount and Payments

- 5.1 UNOPS hereby grants to the Grantee the total amount of **USD 991,262 (Nine Hundred Ninety-One Thousand Two Hundred Sixty-Two United States Dollars)** as shown in the Budget in Annex B.
- 5.2 Payments to the Grantee shall be made in accordance with the following schedule upon the submission by the Grantee of appropriate milestone reports along with payment requests, subject to the Grantee's continued performance of its obligations under this Agreement:

**Tranche 1: USD 398,632 (Three Hundred Ninety-Eight Thousand Six Hundred Thirty-Two United States Dollars)**, upon signature of this Agreement by both parties.

**Tranche 2: USD 498,040 (Four Hundred Ninety-Eight Thousand Forty United States Dollars)**, only will be transferred upon certification by UNOPS of receipt and acceptance of (a) the interim



progress and financial report and (b) the interim audited financial report indicating that at least 70% of the first payment has been spent or committed based on the approved proposal by the Green Climate Fund – including attachments or hyperlinks to immediate deliverables.

**Tranche 3 :USD 94,590 (Ninety Four Thousand Five Hundred Ninety United States Dollars),** upon certification by UNOPS of receipt and acceptance of (a) the project completion report and (b) the final financial audited report based on the approved proposal by the Green Climate Fund – including attachments or hyperlinks to immediate deliverables, by the date specified in Clause 6.2.

- 5.3 All payments to the Grantee shall be in US dollars and shall be deposited into the Grantee's bank account in accordance with the oneUNOPS supplier profile form completed and submitted by the Grantee to UNOPS.
- 5.4 The amount of payment of such Grant funds is not subject to any adjustment or revision because of price or currency fluctuations or the actual costs incurred by the **Grantee** in the performance of the activities under this Agreement.

## 6. Reporting and Evaluation

- 6.1 The Grantee shall submit to UNOPS the following milestone reports during the life of this Agreement in the formats provided in Annex C, and in line with the above Payment Schedule (as per Clause 5.2):
- (a) An interim progress and financial report on the use of Grant funds after 12 months and no later than 13 months of the date specified in Clause 3.2 above.
  - (b) A financial audited report on the use of Grant funds after 15 months and no later than 16 months of the date specified in Clause 3.2 above.
- 6.2 Within 90 (ninety) calendar days of the end date specified in Clause 3.2 above, the Grantee shall submit the following reports in the formats provided in Annex C:
- (a) To UNOPS, a final audited financial report on the use of Grant funds; and
  - (b) To UNOPS, the project completion report.
- 6.3 Failure to submit the reports specified in Clause 6.1 and 6.2 without due cause shall constitute a failure to fulfil a substantial obligation of this Agreement, in accordance with Article 16 of the General Conditions.
- 6.4 The Grantee shall be deemed discharged from its obligation under this Agreement only upon the receipt and acceptance of the reports referred to in clause 6.2 and the return of any unspent funds in accordance with this Agreement.

## 7. Special Conditions

- 7.1 The Grantee is provided an exemption to Clause 6.1 General Conditions relating to the requirement for all dedicated and separate accounts to be interest bearing. The Grantee shall continue to maintain advances of UNOPS funds in dedicated and separate accounts which may be non-interest bearing.

## 8. Correspondence

- 8.1 All further correspondence regarding the implementation of this Agreement should be addressed to:

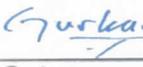




<b>For UNOPS:</b>  Peter Reeh Project Manager Marmorvej 51, Copenhagen, Denmark Telephone: +45 4533 75887 Email: peterre@unops.org	<b>For the Grantee:</b>  Melanie King Manager, Project Coordination Unit SPREP Telephone: +685 21929 Email: melaniek@sprep.org
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8.2 Any notice given by UNOPS or the Grantee shall be sufficient only if in writing and delivered in person, mailed or delivered electronically to the respective addresses specified in Clause 8.1 above.

**IN WITNESS WHEREOF**, the undersigned, duly appointed representatives of UNOPS and of the Grantee, have on behalf of UNOPS and the Grantee, respectively, signed the present Agreement on the dates indicated below their respective signatures.

<b>FOR UNOPS:</b>    Gurel Gurkan Head of Support Services UNOPS UNOPS, VIEMCO, Water and Energy Cluster Date (mandatory): 7 April 2020	<b>FOR THE GRANTEE:</b>    Kosi Latu Director General SPREP Date (mandatory):
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